UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

11/25/2003

CROWELL & MORING LLP INTELLECTUAL PROPERTY GROUP P.O. BOX 14300 WASHINGTON, DC 20044-4300

| <br>            |  |
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| EXAMINER        |  |
| <br>LEE, JOHN D |  |

PAPER NUMBER

ART UNIT

DATE MAILED: 11/25/2003

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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/781.960      | 02/14/2001  | Martin Hartung       | 1860/49624          | 9752             |

TITLE OF INVENTION: LIGHT WAVE CONVERSION ARRANGEMENT AND METHOD FOR MAKING SAME FOR DENTAL PROCEDURES

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO           | \$1330    | \$300           | \$1630           | 02/25/2004 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATEN PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHT THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPO PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM TH MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THE STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOV REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (O AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WIL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is n claiming SMALL ENTITY status, check the box below and enclo the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- ☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) w your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (703) 746-4000

| appropriate. All further co<br>indicated unless corrected<br>maintenance fee notificatio | rm should be used for transcrespondence including the below or directed otherwisens.   | Patent, advance or in Block 1, by (a | ders and not ) specifying                       | PUBLICATION FEE (if requirication of maintenance fees value a new correspondence address  | will be mailed to the current; and/or (b) indicating a separate  | correspondence address arate "FEE ADDRESS"   |
|--|--|--------------------------------------|---|---|--|--|
|  | CE ADDRESS (Note: Legibly mark-u<br>590 11/25/2003   | p with any corrections or            | use Block 1)                                    | Note: A certificate of Fec(s) Transmittal. The papers. Each addition have its own certificate   | mailing can only be used finis certificate cannot be used all paper, such as an assignment of mailing or transmission.   | or domestic mailings of<br>for any other accompany<br>ent or formal drawing, m                     |
| CROWELL & M. INTELLECTUAL P.O. BOX 14300 WASHINGTON, 1                                   | PROPERTY GROUP   |                                      |   | Ce I hereby certify that the States Postal Service addressed to the Matransmitted to the USI  | rtificate of Mailing or Trans<br>his Fec(s) Transmittal is bein<br>with sufficient postage for fir<br>il Stop ISSUE FEE address<br>TO, on the date indicated bei | smission<br>g deposited with the Un<br>st class mail in an envel<br>above, or being facsim<br>low. |
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| APPLICATION NO.  | FILING DATE  |                                      | FIRST NAME                                      | D INVENTOR  | ATTORNEY DOCKET NO.  | CONFIRMATION NO.   |
| 09/781,960   | 02/14/2001   |                                      | Martin  | Hartung   | 1860/49624   | 9752   |
| APPLN, TYPE  | SMALL ENTITY   | ISSUE F                              |   | PUBLICATION FEE   | TOTAL FEE(S) DUE   | DATE DUE   |
| nonprovisional   | NO   | \$1330                               | )<br>   | \$300   | \$1630   | 02/25/2004   |
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| LEE, J   | OHN D  | 2874                                 |   | 359-332000  |  |  |
| CFR 1.363).  Change of correspond Address form PTO/SB/1  "Fee Address" indicati          | e address or indication of "F<br>ence address (or Change of (<br>22) attached,<br>ion (or "Fee Address" Indica<br>or more recent) attached. Us | Correspondence                       | names of<br>agents Ol<br>firm (hav<br>agent) an | nting on the patent front page, up to 3 registered patent a R, alternatively, (2) the name ing as a member a registered d the names of up to 2 regist or agents. If no name is listerinted. | of a single attorney or 2  |  |
| 3. ASSIGNEE NAME AND   | RESIDENCE DATA TO E  | E PRINTED ON T                       | HE PATEN  | T (print or type)   |  |  |
| PLEASE NOTE: Unless<br>been previously submitte<br>(A) NAME OF ASSIGN                    |  |                                      |   | ar on the patent. Inclusion of a<br>Completion of this form is NO<br>CE: (CITY and STATE OR CO  |  | ate when an assignment<br>ignment.   |
| Please check the appropriate   | e assignee category or catego  | ries (will not be pri                | inted on the r                                  | natent): Dindividual []   | corporation or other private a   | roup antity. Divoyamm  |

4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): ☐ Issue Fee ☐ A check in the amount of the fee(s) is enclosed. Publication Fee ☐ Payment by credit card. Form PTO-2038 is attached. ☐ Advance Order - # of Copies \_ ☐ The Director is hereby authorized by charge the required fec(s), or credit any overpayment Deposit Account Number \_\_\_\_\_\_ (enclose an extra copy of this form). Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Authorized Signature)

NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignce or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.31. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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| 75              | 90 11/25/2003  |                      | EXAM                    | INER             |
| CROWELL & M     | ORING LLP      |                      | LEE, JC                 | DHN D            |
| P.O. BOX 14300  | PROPERTY GROUP |                      | ART UNIT                | PAPER NUMBER     |
| WASHINGTON, D   | C 20044-4300   |                      | 2874                    |                  |
|                 |                |                      | DATE MAILED: 11/25/2003 | <b>?</b>         |

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 125 day(s). If the issue fee is paid on the date that is three months after t mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a ha months) after the mailing date of this notice, the Patent Term Adjustment will be 125 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date th determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retriev (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



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| CROWELL & MO    | <del></del>    | LEE, JOHN D          |                         |                  |
| P.O. BOX 14300  | PROPERTY GROUP |                      | ART UNIT                | PAPER NUMBER     |
| WASHINGTON, D   | C 20044-4300   |                      | 2874                    |                  |
|                 |                |                      | DATE MAILED: 11/25/2003 | 3                |

## Notice of Fee Increase on October 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2003, then t amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be increase in fees effective on October 1, 2003. See Revision of Patent Fees for Fiscal Year 2004; Final Rule, 68 Fe Reg. 41532, 41533, 41534 (July 14, 2003).

The current fee schedule is accessible from (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fce(s) Due" but not the correct amount in vie of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processi delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allowan is to be filed on or after October 1, 2003 (or mailed with a certificate of mailing on or after October 1, 2003), t issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and t response to the "Notice of Allowance and Fec(s) Due" includes a request to apply a previously-paid issue fee to t issue fee now due, then the difference between the issue fee amount at the time the response is filed and t previously-paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eigh Edition, August 2001).

Effective October 1, 2003, 37 CFR 1.18 is amended by revising paragraphs (a) through (c) to read as set forth below

Section 1.18 Patent post allowance (including issue) fees.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

(b) Issue fee for issuing a design patent:

(c) Issue fee for issuing a plant patent:

By other than a small entity..... \$640.00

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of t Office of Patent Publication at (703) 305-8283.